

PTO/SB/05 (11-00)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No CHEN-0085 CIP (108330 00090)

First Inventor Tian-Shung Wu

Anti-fungal Pharmaceutical Compositions Comprising an Active Ingredient Prepared from Zingiber officinal

Express Mail Label No. ET 236545190 US (Only for new nonprovisional applications under 37 C.F.R. 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: Box Patent Application Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) 1. 🛛 Computer Program (Appendix) (Submit an original and a duplicate for fee processing) 8. Nucleotide and/or Amino Acid Sequence Submission 2. Applicant claims small entity status See 37 CFR 1.27. (if applicable, all necessary) [Total Pages a. Computer Readable Form (CRF) з. 🖂 Specification (preferred arrangement set forth below) b. Specification Sequence Listing on: - Descriptive title of the Invention i. CD ROM or CD R (2 copies); or - Cross References to Related Applications ii. 🔲 paper - Statement Regarding Fed sponsored R & D c. Statements verifying identity of above copies - Reference to sequence listing, a table, or a computer program listing appendix ACCOMPANYING APPLICATIONS PARTS - Background of the Invention - Brief Summary of the Invention 9. 🖂 Assignment Papers (cover sheet & document(s)) - Brief Description of the Drawings (if filed) 10. 37 C.F.R.§3.73(b) Statement Power of - Detailed Description (when there is an assignee) Attorney - Claim(s) - Abstract of the Disclosure 11. English Translation Document (if applicable) Copies of IDS 12. 🔲 Drawing(s) (35 U.S.C. 113) [Total Sheets Information Disclosure Statement (IDS)/PTO-1449 Citations [Total Pages 5. Oath or Declaration 13. 🔲 Preliminary Amendment a. X Newly executed (original or copy) 14. 🛛 Return Receipt Postcard (MPEP 503) b. Copy from a prior application (37 CFR 1.63 (d)) (Should be specifically itemized) (for a continuation/divisional with Box 18 completed) 15. 🔲 Certified Copy of Priority Document(s) i. DELETION OF INVENTOR(S) (if foreign priority is claimed) Signed statement attached deleting inventor(s) 16. Request and Certification under 35 U.S.C. 122 named in the prior application, see 37 CFR (b)(2)(B)(i). Applicant must attach form PTO/SB/35 1.63(d)(2) and 1.33(b). or its equivalent. 6. Application Data Sheet. See 37 CFR 1.76 17. Other: 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment. or in an Application Data Sheet under 37 CFR 1.76. ☐ Continuation □ Divisional □ Continuation-in-part (CIP) of prior application No: 09 / 648,662 Group / Art Unit: 1651 Prior application information: Examiner Herbert J. Lilling For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 17. CORRESPONDENCE ADDRESS Correspondence address below or 🔯 ☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) T. Ling Chwang Name 2435 N. Central Expressway, Suite 600 Address City Richardson TX Zip Code 75080 State Fax 972-744-2919 972-744-2909 Country USA Telephone Name (Print/Type) T. Ling Chwang Registration No. (Attorney/Agent) 33,590

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. Washington. DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents. Box Patent Application, Washington, DC 20231.

Date

June 22, 2001

Signature



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known						
Application Number	TBA					
Filing Date	June 22, 2001					
First Named Inventor	Tian-Shung Wu					
Examiner Name						
Group / Art Unit						
Attorney Docket No	CHEN-0085CIP (108330.00090)					

		METH	OD OF I	PAYMENT (che	ck one)	"	T .			FEE C	ALCULATION (continued)	
				sioner is hereby		to charge	3. AD	DITIONAL	L FEES		A STATE ASSESSMENT OF THE STATE	
1. 🖾				s and credit any				Large Entity		Small Entity		F
Depo Acco		50-17	760				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Num		30-11	732				105	130	205	65	Surcharge - late filing fee or oath	
Depo							127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Acco		50-17	752				139	130	139	130	Non-English specification	
Nam	е	<u> </u>				ļ	147	2,520	147	2,520	For filing a request for reexamination	
	Charge Ar Under 37	CFR 1.1	6 and 1.	17			112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
	Applicant See 37 C	FR 1.27		ty status.			113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. 🔯	Payme	nt Enclo	sed:				115	110	215	55	Extension for reply within first month	
⊠	Check	☐ Cr	edit card	☐ Money Order		Other	116	390	216	195	Extension for reply within second month	
			EEE ^	ALCULATION			117	890	217	445	Extension for reply within third month	
1. E	BASIC FI	LING FE		ALCOLATION			118	1,390	218	695	Extension for reply within fourth month	
Large	Entity	Small	Entity				128	1,890	228	945	Extension for reply within fifth month	
Fee	Fee	Fee	Fee	Fee Description	n		119	310	219	155	Notice of Appeal	
Code	(\$)	Code	(\$)		r	Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101	710	201	355	Utility filing fee		355	121	270	221	135	Request for oral hearing	
10 6 107	320 4 90	206 207	160 245	Design filing fee Plant filing fee	9		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing for	е [140	110	240	55	Petition to revive – unavoidable	
114	150	214	75	Provisional filling	ng fee [141	1,240	241	620	Petition to revive – unintentional	
					-		142	1,240	242	620	Utility issue fee (or reissue)	
		SU	JBTOTA	L (1)	L	(\$) 355	143	440	243	220	Design issue fee	
2. EXTF	RA CLAII	M FEES					144	600	244	300	Plant issue fee	
				Extra	Fee from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Clain	ns 31		20 =	Claims X	below 9	Paid = 99	123	130	123	130	Petitions related to provisional applications	
Independer Claims	nt 2		3 =	0 X	40	= 0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent				x		= 0	581	4 0	581	40	Recording each patent assignment per property (times number of properties)	40.00
Large Fee	Entity Fee	Fee	Enti	y Fee Descrip	ition		146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	•			149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in ex							examined (37 CFR § 1.129(b))	
102 104	80 270	202 204	40 135	Independent Multiple dep			179	710	279	355	Request for Continued Examination (RCE)	! !
109	80	209	40	** Reissue in	ndependent		169	900	169	900	Request for expedited examination of a design application	
110	18	210	9	original pate ** Reissue c over original	laims in exc	ess of 20 and						
			s	UBTOTAL (2)	(\$) 99		Other fo	ee (specif	y)			
**or num	ber previo	usly paid.	if greate	; For Reissues, s	ee above		*Redu	ced by Ba	isic Filin	g Fee P	aid SUBTOTAL (3) (\$) 40	

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	T. Ling Chwang	Registration No. Attorney/Agent)	33,590	Telephone	972-744-2919		
Signature	T. Q.	Chricy		Date	June 22, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents. Washington, DC 20231.

Certificate of Express Mailing under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service, Express Mail Tracking Number ET 236545190 US, with sufficient postage in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on <u>June 22, 2001</u>. Date

Signature

M. Alford

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted
Utility Patent Application Transmittal
Fee Transmittal for FY2001
Specification 24 pages
Declaration and Power of Attorney
Application Data Sheet
Recordation Form Cover Sheet
Assignment
Two Checks
Postcard

paper.

Burden Hour Statement. This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231.